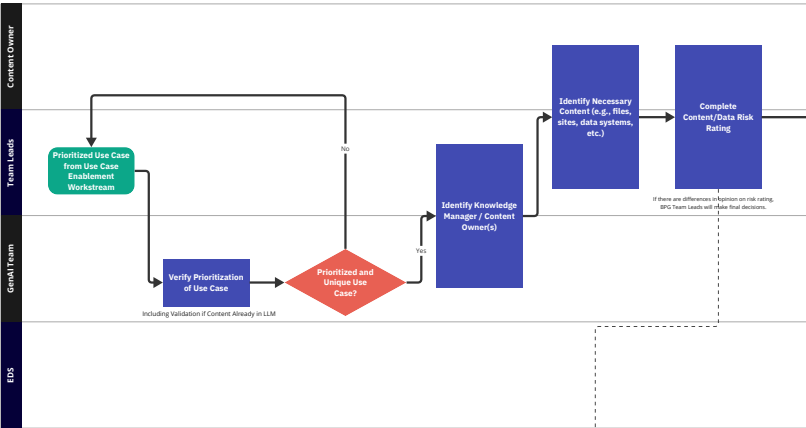


Enterprise GenAI Data Governance

START HERE

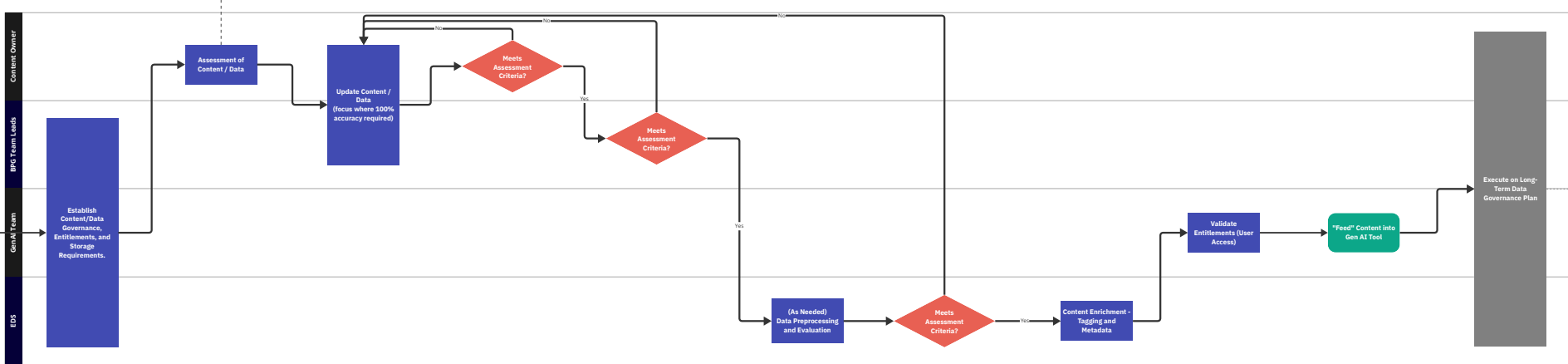


Risk Rating Criteria

#	Criteria	Scoring Rubric
1	Reach How many people will this use case (and related content/data) be accessible to?	<ul style="list-style-type: none">• Most (3 Points): Majority of KPMG Practitioners and Employees• Many (2 Points): A variety of middle or back office teams and/or leaders, but not the general KPMG population• Few (1 Point): Limited to a small group of individuals (~50 or less)
2	Impact What would be the severity of consequences if a user acts on an incorrect GenAI answer/result?	<ul style="list-style-type: none">• High (3 Points): Potential legal and financial implications for the individual and/or organization• Medium (2 Points): No legal or financial implications, but could harm intangibles - e.g., company culture, trust from employees, etc.• Low (1 Point): Limited or no known consequences (e.g., creative idea generation via GenAI)
3	Classification What is the security level determined for this type of documentation?	<ul style="list-style-type: none">• DC2 & DC3 (3 Points): Sensitive data with restricted internal audiences• DC1 (2 Points): Internal data for KPMG employees only• DD (1 Point): Public data
4	Future Touchpoint Is user likely to act on GenAI answer/result without further human interaction?	<ul style="list-style-type: none">• No (3 Points): User is likely to NOT discuss and/or communicate with other humans before completing defined task• Unclear (2 Points): Depending on situation, user may or may not have further human interaction• Yes (1 Point): User is likely to discuss and/or communicate with other humans after completing defined task

Score = 4-7

Robust Path (Score: 8-12)



Robust Assessment Process

Step 1: Review the full inventory of content and quality rules that have been established.

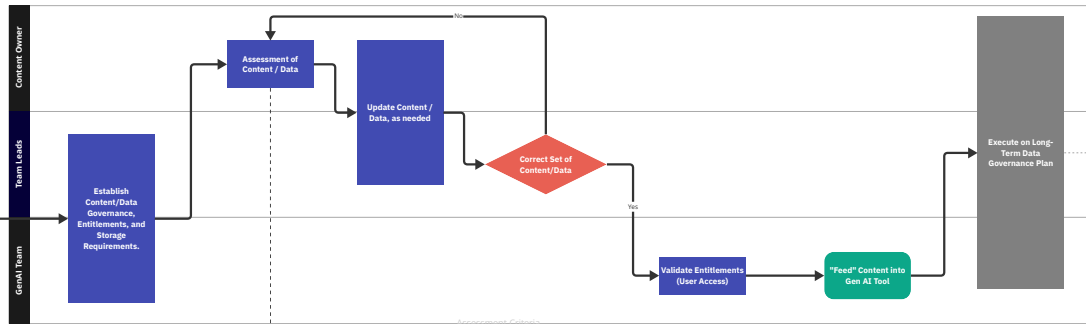
Step 2: Define reviewers and approvers for each content type (specifically those that accuracy is most important).

Step 3: Set up meeting with all reviewers to discuss the assessment criteria. Provide a set period of time for the reviewers to edit/update the content. In addition, provide timeline for approvers to review and provide final approval.

Assessment Criteria

#	Criteria	Ready for Ingestion when...
1	Accuracy Is the information as close as possible to real / true values?	Information is verified to be 100% accurate in defined content / data
2	Completeness Is all the necessary information and/or values present in the content?	Information has been fully checked and considered bias free
3	Consistency Is the information free of contradictions?	Information is meaningfully consistent with no known contradictions
4	Validity Does the information conform to the format, type, and range expected?	Information can be accurately parsed to serve intended use cases
5	Timeliness Is the information up-to-date?	Information is up to date with the latest changes made

Expedited Path (Score: 4-7)



Expedited Assessment Process

Step 1: Select a subset of content / data (~10%) that is a representative sample of the content / data to be leveraged as part of the use case.

Step 2: Review the data against the criteria below.

Step 3: As needed, discuss with the team leader any potential updates that might feel necessary (e.g., some files are woefully inaccurate or outdated). If agreed to, make updates to content / data.

Assessment Criteria

#	Criteria	Ready for Ingestion when...
1	Accuracy Is the information as close as possible to real / true values?	Information is mostly accurate, but might have a few inaccuracies
2	Completeness Is all the necessary information and/or values present in the content?	Information is mostly complete, with some possible missing information or biases
3	Consistency Is the information free of contradictions?	Information is mostly consistent
4	Validity Does the information conform to the format, type, and range expected?	Information can mostly be parsed to serve intended use cases
5	Timeliness Is the information up-to-date?	Information is mostly up to date

Long-Term Data Governance Plan

Each content team will have their own set of requirements to manage content in the long-term. Below are some of the items for teams to consider as part of their long-term governance plan.

Data Governance Considerations

#	Considerations
1	Update Frequency How often does this content / data need to be updated?
2	Dependencies What types of other content / data is dependent on this content? Vice versa?
3	Oversight Has/lor does the information need to be re-validated?
4	Versioning Is the correct version being used? Is the tracking mechanism clearly understood?

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